

Date	Investment Name	City	State	Industry	Business Description	Amt		Stage	Round	Comment	Lead Investor Name	New?	Investor	Investor
						(\$mil)	Type						State	Nation
11/2/2009	BroadSoft, Inc.	Gaithersburg	MD	Telecom Software & Services	Develops voice-over information technology and telecommunications software. The Company offers its BroadWorks communication software and servers that service providers use to deploy web-enabled telephony services including call forwarding, voice mails.	1.50	Preferred-Venture	Later	8	Previous raise in Dec 2008 used to fund cash portion of Sylanro acquisition. This raise says in the filing that it is used for acquisition purposes. The news comes just weeks after the company announced plans to acquire Silicon Valley-based Packet Island, a maker of web-based software used to manage Internet phone and video networks. 3 funds of many split this one 3 ways.	Columbia, Bessemer, Grotech	N	VA	
11/2/2009	buySAFE, Inc.	Arlington	VA	Internet Applications & Portals	Solutions for online commerce transactions for buyers and sellers, shoppers and merchants.	1.20	Preferred-Venture	Later	5	Earlier in the year announcement of a partnership and "investment" by Verisign--but no money ever cired. This round is prior investors funding ops.	Grotech, Core	N	MD	
11/2/2009	GlycoMimetics, Inc. (AKA: GMI)	Gaithersburg	MD	Biotech/Pharma	Provides research advances in glycol-biology by developing small molecule drugs that mimic the action of carbohydrates and that are designed to have drug-like properties. The Company's initial focus is on therapeutics that treats inflammatory and infectious diseases. gene sequences prescription drugs	15.32	Preferred-Venture	Early	5	This is some kind of accompanying financing round to the \$38M raised in October. It is labeled as "Notes convertible into Series A-1 Preferred Stock; warrants to purchase 2,032,858 shares of common stock." Given that it is early stage, this instrument must be designed to convert to equity. Thus, we label it preferred.	Genzyme Ventures, Novartis, Anthem, Alliance Technology Ventures, NEA	Y	MA	
11/2/2009	Motley Fool (The)	Alexandria	VA	Media, Entertainment & Publishing	A multimedia financial-services company dedicated to building the world's greatest investment community. Reaching millions of people each month through its website, books, newspaper column, television appearances, and subscription newsletter services, The Motley Fool champions shareholder values and advocates tirelessly for the individual investor.	25.00	Preferred-Venture	Later	3	First capital taken since a \$30M round in 2001 at a huge valuation then of \$506M. This round says that a portion will be used for "shareholder liquidity." The brothers have been building this for a long time and probably wanted some cash for themselves. BIA (15M) is a new, supposedly mezz fund, but this is press released as equity. Same with Patriot Capitol (10M). Each is no doubt allowed to do preferred.	BIA Digital Partners, Patriot Capital	Y	VA	
11/2/2009	VIRxSYS Corporation	Gaithersburg	MD	Biotech/Pharma	Focuses on RNA-based therapies including vaccines. Has developed a gene delivery system (vector) from a human lentivirus which it uses for therapies against serious human diseases. The foundational technology was patented by, and is exclusively licensed from, The Johns Hopkins University in Baltimore, Maryland. The first application of VIRxSYS' lentiviral vector delivery system is for the treatment of HIV/AIDS.	9.91	Preferred-Venture	Later	6	Has been around since 1999. Signature mentioned in early rounds. Undisclosed for later rounds but could be Signature or an affiliate.	Signature Capital LLC	N	ME	
11/3/2009	Daily Caller (The)	Washington	DC	Media, Entertainment & Publishing	A right-wing news and opinion website that has not yet launched	1.50	Preferred-Venture	Seed	1	Reg D filing. Likely individual investors. The two executives listed are Neil Patel, former policy advisor to Vice President Cheney, and conservative commentator Tucker Carlson.	NA	Y		
11/4/2009	Zyngenia, Inc.	Rockville	MD	Biotech/Pharma	A privately held biotherapeutics company focused on the development of next-generation multi-specific antibodies. The company uses proprietary patented technology to engineer single molecular entities that may interact with two or more targets. The company will initially focus its Zybody™ development on therapies for various cancers and autoimmune disorders.	10.00	Preferred-Venture	Seed	1	One fund for now. NEA. David Mott becomes NEA partner on the board. Thomson reports only \$3M. Press release is clearly 10M.	NEA	Y	MD	
11/5/2009	Tiber Creek Group	Washington	DC	Business Services	Government relations firm that holds three companies: Peck, Madigan, Jones & Stewart Inc., Ickes & Enright Group Inc. and Bay Bridge Strategies Inc. CEO is Harold Ickes, famous Dem advisor.	0.80	Preferred-Venture	Expansion	1	Below the radar private funding of the company.	Unknown			
11/6/2009	Cyren Call Communications Corporation	McLean	VA	Telecom Software & Services	Major proponent and developer of a dedicated wireless public safety network based on obtaining certain wavelength of the telecom spectrum.	0.17	Preferred-Venture	Early	4	Company is in maintenance mode since the downfall of this spectrum idea, for which the company was the principal architect. Could be revived. Probably have bills to pay, so two investors topped it up equally.	NEA, Battery Ventures	N	MD	
11/10/2009	Praxis Engineering Holdings Inc.	Annapolis Junction	MD	Government IT, Software & Services	Develops software and system engineering products and offers consulting services to serving the U.S. Department of Defense, government and civilian agencies, and commercial companies.	1.00	Preferred-Venture	Later		No Thomson record of this company. Probably a small, unannounced raise among principals.	Unknown			
11/16/2009	Millennial Media, Inc.	Baltimore	MD	Media, Entertainment & Publishing	Leader in mobile advertising. With the largest mobile media audience in the U.S.,	16.00	Preferred-Venture	Expansion	3	Pat Kerins leads round for NEA and joins board. His experience dates back to Advertising.com. Not sure why NEA was not in earlier rounds.	NEA, Columbia, Bessemer, Charles River	Y	MD	
11/17/2009	Lighting Retrofit International LLC	Gambrills	MD	Energy & Resources	Works with construction teams to design, install and retrofit a building's lighting systems to save on energy.	5.00	Preferred-Venture	Expansion	1	Karl Khoury and Joe Lipscomb's new fund invested here.	Arborview	Y	MD	

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11/17/2009	Spherix Incorporated (NASDAQ:SPEX)	Bethesda	MD	Biotech/Pharma	Innovator in biotechnology for diabetes therapy, and a provider of technical and regulatory consulting services to food, supplement, biotechnology and pharmaceutical companies,	6.30	PIPE	Expansion		Company will net 6M after fees. Co wil sell an aggregate of 2,760,870 shares of its common stock and warrants to purchase up to 1,104,348 additional shares of its common stock. Each unit, consisting of one share of common stock and a warrant to purchase 0.40 of a share of common stock, will be sold for a purchase price of \$2.30. The warrants to purchase additional shares will be exercisable immediately at an exercise price of \$3.25 per share and will expire 5 years. "critical support for the Company's on-going development of D-tagatose as a treatment for Type 2 diabetes, currently in Phase 3 clinical trial,"	Investors			
11/17/2009	WellAWARE Systems, Inc.	Charlottesville	VA	Healthcare Software & Services	Provides a monitoring solution for cared-for individuals. The Company provides a monitoring solution that gathers and reports behavioral and wellness information of a cared-for individual, in their home or at a senior living facility.	7.55	Preferred-Venture	Later	1	Classic growth sector investment. Gene Riechers at Valhalla.	Valhalla, Point 406 Ventures	Y	MD	
11/19/2009	Telecommunication Systems, Inc.	Annapolis	MD	Telecom Software & Services	Development and application of wireless data communications technology in the United States and internationally. It focuses on text messaging and location-based services, including enhanced 9-1-1 (E9-1-1) for wireless carriers and voice over Internet protocol (VoIP) service providers, and secure satellite-based communication solutions for government customers.	10.50	Common-private sale	Later		Not sure what is going on here. Appears to be just senior management and board ponying up on the heels of a major acquisition and a 104M debt offering. Did bankers need this? Can't believe this would make a dent in any ratios. Why do this away from a general public offering? \$8.5M on 11/19 filed by Form D. \$2M more added on 11/25.	Investors			
11/23/2009	Carey Street Partners	Richmond	VA	Financial Services	Broker dealer	1.50	Common-private sale	Seed	1	A registered broker-dealer raising money from two principals. Reg D filing.	Investors			
11/24/2009	DigitalBridge Communications	Ashburn	VA	Telecom Service Providers	Has deployed WiMAX (worldwide interoperability for microwave access) networks in 15 markets throughout the United States. The company offers fixed, mobile and voice-over-Internet protocol (VoIP) services.	Undisclosed	Preferred-Venture	Expansion	4	Not disclosed. Why? No SEC Filing. Something is not right here. Wrote to Tom at Novak for explanation.	Paladin, RedShift, CNF, NBVP, Schurz Communications from IN.		DC	
11/25/2009	Privaris	Charlottesville	VA	Security, Hardware, Software & Services	developer of biometric security devices-wireless keychain devices that use fingerprint-based biometrics to authenticate users, who then can gain access to particular buildings, computers, networks and web sites.	0.50	Debt	Expansion	3	Listed as \$2.1M in Form D, but fine print says that \$1.6M is a rollover of previous debt. This venture group files this as "debt" and "bridge loans." Not sure why this structure, but this has to be venture investing with venture rights, preferences, and ultimately equity.	Harbert, Noro-Mosely, Red Shift, River Cities		VA	
11/30/2009	BrainScope Co. Inc	Washington	DC	Healthcare Equipment	Medical neurotechnology company that is developing a new generation of handheld, noninvasive instruments to aid medical professionals in rapidly assessing brain function at the initial point of care.	0.97	Preferred-Venture	Early	3	This dated Nov but filed Dec 2. Very confusing capitalization. Several previous rounds, all classified as debt or bridge loans, including one for \$1.6M on 10/29/09, seem to be coupled with equity. Revolution Ventures is the Steve Case fund. Probably should classify the bridge's as equity, but not sure if some is being converted in this small equity piece.	Revolution LLC, Alafi Capital Co. LLC in California, and Portage Venture Partners, which manages another investor, Draper Fisher Jurvetson (DFJ) Portage,	N	DC	
11/30/2009	Coastal Acquisition Corp	Savage	MD	Food	Management buyout group formed to re-acquire Coastal Sunbelt Produce from MCG Capital.	20.06	Common-private sale	Later	1	Very int. On Jul 30, MCG Cap announced agreement to sell its equity interest in Coastal Sunbelt Produce, a food distributor that it bought in 2007 to management & another equity firm Msouth Equity Partners. Months later, this announcement shows up. Deal is not done, but equity is finally raised. MCG is de-leveraging its balance sheet. This investment sold at cost/fair value--both at approx \$15M.	Not clear. Could be individuals, could be new institutions, could be mgmt and partner Msouth Equity Partners.			
11/30/2009	Unyos Inc.	Columbia	MD	Internet Applications & Portals	Developed a "collaborative community-building" platform that integrates digital content (live and stored) and member management services within a secure and HIPAA compliant e-commerce model. Delivered as a hosted web service and addresses needs in the areas of telehealth and online communications and training.	0.43	Preferred-Venture	Early	1	Formed in 2008. Total round is supposed to be \$1M according to filing.	Investors			